



UMEÅ UNIVERSITET

Name of applicant: [Click or press here to enter text](#)

Application regarding:

☐ Public defense

☐ Advance review

The electronically completed form, with either a signature or e-signature, and appendices should be scanned and emailed to foutb@adm.umu.se.

Send the original documents, including appendices, to the Registrar, Umeå University,
901 87 Umeå

Supported by the enclosed documents, I hereby apply to defend a doctoral thesis titled
(please note that the title should be stated in Swedish and English)

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Date of defence	Time
State the language in which the defence will be conducted: <input type="checkbox"/> Swedish <input type="checkbox"/> English	

Umeå,

Signature (doctoral student)	Print name
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Appendices:

- ☐ 1. Certification of completed mid-PhD seminar or degree of licentiate certificate
- ☐ 2. Abstract in English
- ☐ 3. Ladok excerpt detailing doctoral courses and other credit-bearing activities
- ☐ 4. Copy of existing ethical approvals or comments if no approval is required.
- ☐ 5. Confirmation of acceptance of any academic papers that have not yet been published.



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Doctoral student's given name and initials (<i>The name should be stated in the same way as is in the publication</i>)		Personal identity number
Surname (and previous name if applicable)		Gender <input type="checkbox"/> Woman <input type="checkbox"/> Man <input type="checkbox"/> Other
Home address	Postcode, town/city	Country
Work address	Postcode, town/city	Country
Email	Telephone	Citizenship, if not Swedish

Date of admission	Subject*
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* A degree can only be awarded for one subject

Principal supervisor (the application must include the names of all supervisors. If you have changed supervisor, please state the name(s) of other supervisors in the appendix)

Name (<i>The name should be stated in the same way as in the publication</i>)	Academic title
Department	
Telephone	Email

Assistant supervisors

Name (<i>The name should be stated in the same way as in the publication</i>)	Academic title
Department, higher education institution	

Name (<i>The name should be stated in the same way as in the publication</i>)	Academic title
Department, higher education institution	

Name (<i>The name should be stated in the same way as in the publication</i>)	Academic title
Department, higher education institution	



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Department, higher education institution

Department(s) from which the thesis is to be issued

State percentage

Supervisor's proposal for faculty opponent

No conflict of interest is permitted

Name *(The name should be stated in the same way as in the publication)*

Academic/job title

Department/office and faculty, complete work address

Email

Telephone

Justification for choice of faculty opponent

* > 5 years since the last co-publication or other form of collaboration. All supervisor-doctoral student relationships should be avoided or be at least 10 years ago. Family relationships or private or professional friendships are also disqualifying.

Supervisor's proposal for chair of the defence**

Name *(The name should be stated in the same way as in the publication)*

Academic title

Department/office, complete work address

Email

Telephone

** Supervisors are not permitted to chair a defence.



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Supervisor's proposal for three to five members of the examining committee*

No conflict of interest is permitted / If only three members are proposed, also propose alternate.**

Name <i>(The name should be stated in the same way as in the publication)</i>	Academic/job title
Department, higher education institution, complete work address	
Telephone	Email

Name <i>(The name should be stated in the same way as in the publication)</i>	Academic/job title
Department, higher education institution, complete work address	
Telephone	Email

Name <i>(The name should be stated in the same way as in the publication)</i>	Academic/job title
Department, higher education institution, complete work address	
Telephone	Email

For an examining committee of three people, alternates must also be proposed. The requirements for the composition of the committee, as listed below, still apply.

Name <i>(The name should be stated in the same way as in the publication)</i>	Academic/job title
Department, higher education institution, complete work address	
Telephone	Email

Name <i>(The name should be stated in the same way as in the publication)</i>	Academic/job title
Department, higher education institution, complete work address	
Telephone	Email

* Normally both sexes should be represented. Deviations from this must be justified in a separate document.

At least one of the members must represent another university. At least one member must represent the Faculty of Medicine at Umeå University. If special grounds exist – for example, one of five members is a PhD but is not qualified for appointment as a docent – this should be justified in a separate appendix. Alternates must be proposed for examining committees with three members.

** > 5 years since the last co-publication or other form of collaboration, > 10 years since principle supervisor/doctoral student relationship. Family relationships or private or professional friendships are also disqualifying.



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Thesis design

- ☐ Articles with summary Number of academic papers of which have been accepted for publication.
☐ Monograph

List of academic papers included in the thesis, including the names of all authors. For published papers, state the journal, year, issue and page numbers. For other papers, state whether these have been accepted for publication or exist as manuscripts. The acceptance of unpublished papers must be certified by the journal in question. If any papers have been included in another doctoral thesis, or there are any plans to do so, this should be stated and explained.



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Supervisor's summary of the doctoral student's development and autonomy

For each paper, state whether and how the doctoral student has participated in:

1. the concept/hypothesis/design of the project;
2. planning the project;
3. implementing the project;
4. analysing and compiling the results;
5. compiling the manuscript; and
6. correspondence with journals.

If any papers have been included in another doctoral thesis, or there are any plans to do so, the doctoral student's contributions must be clearly described.

Please note that references to appendices are not acceptable.



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Concluding comments in which the supervisor's opinion of the doctoral student's scientific maturity should be clearly stated, as well as any measures taken as a result of the review group's opinion at the mid-PhD seminar review.

Other information



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Certification of completed doctoral courses

I hereby certify that the doctoral student has completed the following doctoral courses.
An extract from Ladok is enclosed.

Mandatory block for doctoral students admitted before 1 July 2021	Year/month
<input type="checkbox"/> Introduction to Doctoral Studies, 1 credit <input type="checkbox"/> Research Methodology and Philosophy of Science, 3 credits <input type="checkbox"/> Research Ethics, 3 credits <input type="checkbox"/> Information and Literature Searches: Publication, Applications and Lectures, 1.5 credits <input type="checkbox"/> Presentation at a National/International Conference, 1.5 credits	
Mandatory block for doctoral students admitted from 1 July 2021	Year/month
<input type="checkbox"/> Faculty-wide Doctoral Programme, 25 credits <input type="checkbox"/> Presentation at a National/International Conference, 1.5 credits	
Individual courses	Year/month/credits
<input type="checkbox"/> National Course in Laboratory Animal Science	
<input type="checkbox"/> Good Clinical Practice (GCP)	
<input type="checkbox"/> Statistics	
<input type="checkbox"/> Qualitative Methods	
<input type="checkbox"/> Other doctoral courses. A maximum of 7.5 credits may be credited from first- and second-cycle courses. All other credits must be earned for doctoral courses (specify in Ladok extract).	
Other credit-bearing activities. <input type="checkbox"/> Presentation at a National/International Conference (max. 6 credits) <input type="checkbox"/> Journal club <input type="checkbox"/> Seminar series <input type="checkbox"/> Visiting researcher or extended predoctoral exchange <input type="checkbox"/> Other, specify	Number of credits
Total credits: (doctoral courses + other credit-bearing activities)	



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Certificate of adequate knowledge in degree specialisation

I hereby certify that the doctoral student has actively participated in the department's seminar activities and journal club, and that the doctoral student has demonstrated adequate knowledge for the award of a Degree of Doctor in the subject/degree specialisation.

The ways in which the doctoral student has demonstrated adequate subject knowledge (e.g., examinations in the reading course specified in the admission documents or by other means):

Umeå,

Signature (examiner)	Print name
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The following certify that no conflict of interest exists concerning the proposed opponent or examining committee members

Signature (doctoral student)	Date	Print name
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Signature (principle supervisor)	Date	Print name
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Signature (assistant supervisor)	Date	Print name
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Signature (assistant supervisor)	Date	Print name
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Signature (assistant supervisor)	Date	Print name
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Application approved

Signature (head of department)	Date	Print name
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